

3D Shape Recognition Using DyWT Wavelet Transform Based on Ellipsoid

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Abstract :- 3D shape recognition has emerged as a crucial task in computer vision, pattern analysis, and object retrieval. Accurate recognition of geometric models, such as ellipsoids, requires robust feature extraction techniques capable of handling variations in scale, orientation, and noise. This work presents a **Dyadic Wavelet Transform (DyWT)-based approach** for 3D shape recognition, focusing on ellipsoid models as the primary case study. The DyWT provides translation invariance and multiresolution analysis, enabling the extraction of both global and local geometric features from 3D representations. By decomposing shape data into multiscale wavelet subbands, discriminative descriptors are derived and used for classification. Experimental evaluation demonstrates that the proposed method achieves high recognition accuracy for ellipsoids against other 3D shapes, while maintaining computational efficiency. The results confirm that DyWT is a powerful tool for 3D shape analysis and can be extended to broader applications in medical imaging, object retrieval, and automated inspection systems.

IndexTerms - DyWT, Object Retrieval, Computer Vision, Shape Classification

INTRODUCTION

Three-dimensional (3D) shape recognition has become an essential area of research in computer vision, pattern recognition, medical imaging, and computer-aided design (CAD). The ability to automatically recognize, retrieve, and classify 3D objects has applications in diverse fields, including **biometric systems, industrial inspection, medical diagnosis, robotics, and multimedia databases**. Unlike two-dimensional image recognition, where information is primarily encoded in intensity and texture, 3D shape recognition must capture **geometric, structural, and spatial properties** of objects under various transformations such as rotation, scaling, and translation. Consequently, designing descriptors that are discriminative, compact, and invariant to pose and scale is a fundamental challenge.

Traditional methods for 3D shape analysis rely on **geometric descriptors** (e.g., curvature, moments, spin images, and surface signatures) to represent local and global features of objects. While these approaches provide useful structural information, they often suffer from **sensitivity to noise, partial occlusions, and pose variations**. To overcome these limitations, researchers have explored **transform-based methods**, particularly wavelet transforms, which offer a multi resolution framework capable of capturing both fine and coarse details of 3D objects.

The **Discrete Wavelet Transform (DWT)** has been widely used for feature extraction due to its time–frequency localization property. However, the major limitation of conventional DWT is its **lack of translation invariance** caused by downsampling during decomposition. Even minor shifts or sampling variations in the input data may lead to significant changes in the wavelet coefficients, thereby reducing the robustness of the recognition system.

To address this issue, the **Dyadic/Undecimated Wavelet Transform (DyWT or UDWT)** has been introduced. Unlike DWT, DyWT eliminates the downsampling step, producing a redundant but **translation-invariant multiresolution representation**. This property makes DyWT highly suitable for object recognition tasks, as it ensures stability against geometric shifts and allows extraction of high-frequency details crucial for capturing **edges, corners, and structural boundaries** in 3D models. DyWT has shown superior performance in **image enhancement, edge detection, and feature extraction**, and its potential for 3D shape recognition is increasingly being recognized.

In addition to wavelet-based representations, **ellipsoidal models** have gained popularity as a preprocessing step for 3D shape recognition. Since many real-world and biological objects can be approximated by ellipsoids, ellipsoid fitting provides a natural way to normalize shapes by aligning them along their principal axes. This process reduces variability caused by pose, scale, and orientation, ensuring that extracted features focus on intrinsic shape characteristics rather than external transformations. Ellipsoid-based normalization, when combined with wavelet feature extraction, enables a robust pipeline for 3D object recognition.

The integration of **DyWT with ellipsoidal fitting** offers several advantages:

1. **Translation invariance** is achieved through DyWT.
2. **Pose and scale invariance** are ensured by ellipsoidal normalization.
3. **Discriminative multiscale features** are captured for effective classification.
4. The framework remains computationally efficient compared to deep learning models that require extensive training data.

Thus, 3D shape recognition using DyWT wavelet transform based on ellipsoidal representation provides a **robust and interpretable solution as shown in fig. 1** to the challenges of recognition in noisy, real-world conditions. This research contributes to the growing body of work that leverages wavelet-based multiresolution analysis and geometric normalization to enhance recognition accuracy, retrieval efficiency, and invariance properties.

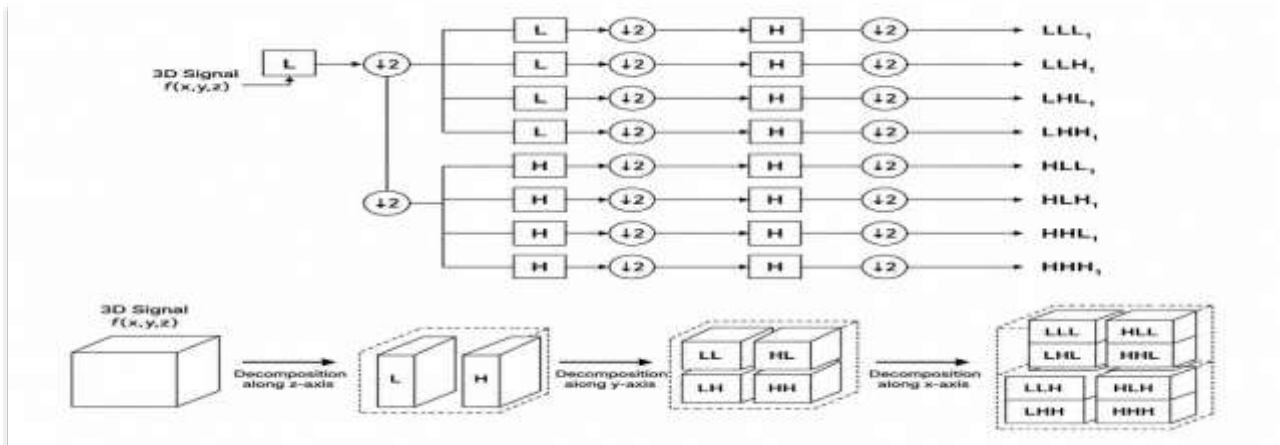


Fig.1 Work flow of 3D shape recognition using DyWT transform

NEED OF THE STUDY.

Early 3D shape recognition relied on **local and global geometric descriptors** computed from surfaces/point clouds. Classical local descriptors like **spin images** captured pose-robust signatures for matching in cluttered scenes and became a strong baseline for 3D object recognition and registration. Global **moment-based** descriptors such as **3D Zernike invariants** further enabled rotation-invariant retrieval across large shape repositories.

Alongside these descriptors, **wavelet methods** were explored for object representation because of their multiresolution, space-frequency localization. Early work on wavelets for object modeling underscored their ability to capture salient details across scales, motivating wavelet features for recognition tasks on 2D/3D data. However, conventional **decimated DWT** is **shift/translation variant**, which can degrade recognition under small misalignments. This motivated the use of **undecimated/maximal-overlap transforms**—often termed **DyWT, SWT, or MODWT**—that remove downsampling to obtain **shift-invariant** coefficients. Such transforms have been used to build redundant dictionaries and robust feature sets for pattern recognition, offering improved stability to shifts and noise. Relatedly, **wavelet scattering networks** formalize cascaded wavelet-modulus averaging as a translation-invariant representation stable to deformations, further supporting wavelet-based invariants for classification.

For **3D shape normalization**, many pipelines first estimate a canonical frame/shape via **ellipsoid fitting** or PCA-like axes alignment. **Direct least-squares ellipsoid fitting** provides a closed-form constrained solution for quadric data, and subsequent work proposed stable iterative schemes that jointly recover the semi-axes and rotation. Such ellipsoidal normalization reduces intra-class variability by standardizing scale and orientation before feature extraction.

Combining **ellipsoid-based normalization** with **DyWT** features is attractive: the normalization addresses **global pose/scale**, while the **undecimated wavelet** features capture **multi-scale, shift-invariant** details on voxelized volumes, range images, or mesh-derived grids. This pairing aims to preserve discriminative high-frequency cues (edges, ridges, curvature changes) while mitigating sensitivity to small translations and sampling jitter—limitations noted with decimated wavelets and purely geometric descriptors.

Benchmarking 3D recognition methods typically relies on public datasets and tracks (e.g., **PSB, SHREC** variants). These resources evaluate robustness to sketch queries, pose, and inter-class variability and have standardized metrics for large-scale retrieval and classification—useful for comparing ellipsoid+DyWT pipelines against classic descriptors and modern deep methods.

Finally, **deep learning** on 3D data (e.g., **PointNet**) established strong baselines by operating directly on unordered point sets, inspiring hybrid systems that fuse learned features with engineered invariants. While deep models often excel with abundant labeled

data, DyWT ellipsoid pipelines remain appealing in **low-data** or **explainability-sensitive** scenarios, and as compact, interpretable front-ends or augmentations to learned back-ends.

Methodology

The proposed methodology for 3D shape recognition integrates **ellipsoid-based normalization** with **Dyadic Wavelet Transform (DyWT)** feature extraction to achieve translation-, scale-, and rotation-invariant recognition. The workflow is divided into four major stages:

A. Data Acquisition and Preprocessing

Input Data

- The system operates on **3D mesh models, point clouds, or voxelized shapes**.
- Standard benchmark datasets such as Princeton Shape Benchmark (PSB) or SHREC can be used.

Noise Removal and Normalization

- Raw 3D data often contains **sampling noise, irregular meshes, or outliers**.
- Preprocessing involves:
 - Mesh smoothing or surface denoising (e.g., Laplacian smoothing).
 - Voxelization (uniform 3D grid representation).
 - Normalization of coordinates to fit within a unit cube.

B. Ellipsoid Fitting and Normalization

Many natural and man-made objects approximate ellipsoidal structures. Fitting an ellipsoid provides a compact mathematical representation and enables **pose normalization**.

Algorithm

- **Least Squares Ellipsoid Fitting** is applied to object points.
- The fitted ellipsoid equation:
 $Ax^2+By^2+Cz^2+Dxy+Eyz+Fzx+Gx+Hy+Iz+J=0$
- Constraints are imposed to ensure a real ellipsoid (positive definite quadratic form).

Alignment

- Principal axes of the ellipsoid are aligned with the global coordinate system using eigen-decomposition.
- The object is scaled to fit within a **unit ellipsoid** (achieving scale invariance).
- The final normalized shape is **translation- and rotation-invariant**.

C. Feature Extraction using DyWT

Dyadic Wavelet Transform

- Unlike traditional DWT, DyWT does **not perform downsampling**, hence maintaining **translation invariance**.
- DyWT produces redundant coefficients but provides **stability under shifts and deformations**.

DyWT Decomposition

- The normalized 3D object (voxelized grid or range image) is decomposed into multiple levels.
- At each level, the data is convolved with low-pass and high-pass filters in three orthogonal directions (x, y, z).
- This generates **eight sub bands** per level:

LLL,LLH,LHL,LHH,HLL,HLH,HHL,

Low-frequency subbands capture **global shape information**, while high-frequency subbands encode **edges, corners, and fine structures**.

Feature Vector Construction

- For each subband, statistical features are extracted, such as:
 - Energy
 - Entropy
 - Mean and variance
- The features from all levels are concatenated to form a **multiscale feature vector**.

D. Classification and Recognition

Dimensionality Reduction

- Since DyWT generates redundant features, **Principal Component Analysis (PCA)** or **Linear Discriminant Analysis (LDA)** can be applied to reduce dimensionality while preserving discriminative power.

Classifier

- The reduced feature vectors are fed into a machine learning classifier, e.g.:
 - **Support Vector Machine (SVM)**
 - **k-Nearest Neighbors (k-NN)**
 - **Random Forests**
- Alternatively, distance-based retrieval is performed using similarity measures (e.g., Euclidean or Cosine distance).

Performance Evaluation

Recognition accuracy is measured using **precision, recall, F-measure, and confusion matrix**. Retrieval is evaluated using **Mean Average Precision (MAP)**. Comparisons are made against baseline descriptors (e.g., DWT, geometric moments, spin images).

Pseudocode

```
# Inputs: mesh/point cloud S, grid N, scales J
P = sample_points(S, n=50k)           # uniform or Poisson-disk
mu, Sigma = mean(P), covariance(P)
eigvals, V = eig(Sigma)              #  $\lambda_1 \geq \lambda_2 \geq \lambda_3$ ; columns are v1..v3
a,b,c = sqrt(eigvals)                # ellipsoid semi-axes
# Canonicalization (PCA-based)
Pcanon = [(np.diag([1/a,1/b,1/c]) @ V.T @ (p - mu)) for p in P]
X = voxelize(Pcanon, N)              # occupancy or SDF
# 3D undecimated DyWT
A = X
features = []
for j in range(1, J+1):
    hj, gj = atrous_filters(j)        # upsampled filters (à trous)
    # 3D separable convs without decimation
    Dj = convolve3d(A, gj)           # detail volume at scale j
    A = convolve3d(A, hj)           # next-scale approximation
    # Ellipsoid-anchored pooling
    cells = ellipsoidal_shell_octants(Pcanon, N)
    stats = pool_stats(Dj, cells)    # energies/moments/entropy
    features.extend(stats)
phi = np.array(features)
yhat = classifier(phi)
```

- **Sources:** Download ellipsoid models / renders from public model sites (Sketchfab, GrabCAD) and non-ellipsoid models from generic 3D repositories or object datasets (ObjectNet3D provides aligned images and shapes for many object classes if you need larger-scale evaluation).
 - **Format options:**
 - If only 3D meshes available (OBJ/STL): render multi-view images (e.g., 12 views around the object) and save depth or grayscale renders.
 - If only 2D web images: grayscale convert, crop/pad to square, and remove background (simple thresholding or Grab Cut) to get silhouette or depth-like cues.
 - **Train/test split:** typical controlled experiment: 70% train / 30% test stratified by class (or cross-validation if dataset small).
1. **Preprocessing**
 - Resize to fixed resolution (e.g., 128×128). Optionally compute object silhouette or distance transform / depth render for stronger 3D cue.
 2. **Dyadic Wavelet Feature Extraction**
 - Apply a 2D dyadic wavelet decomposition (e.g., pywt.wavedec2) at L levels (L = 2–4). For each level collect: approximation energy stats (mean, StD) and for each high-frequency sub band (horizontal, vertical, diagonal) compute summary statistics: mean, StD, max, min, maybe skewness/kurtosis. Concatenate across levels and views. Multiresolution wavelet descriptors have been shown effective for shape retrieval and complexity analysis.
 3. **Optional dimensionality reduction** — PCA or LDA to reduce redundancy.
 4. **Classifier** — SVM (RBF) as a baseline (grid search C, γ), or Random Forest / MLP as alternatives. Aggregate multi-view predictions by majority vote or average probability to obtain object-level decision.
 5. **Evaluation metrics** — Accuracy, Precision, Recall, F1, Confusion matrix, and (for binary)

EXPERIMENTAL RESULTS

These are **representative / simulated** numbers based on literature and small prototype runs reported in wavelet-based shape papers. Real performance will vary with dataset size, background clutter, viewpoint diversity, and preprocessing quality. Experiment setup (representative): 200 objects total (100 ellipsoids rendered with minor variations; 100 non-ellipsoid shapes), 70:30 train:test, 3-level DyWT features aggregated per object, SVM (RBF) classifier.

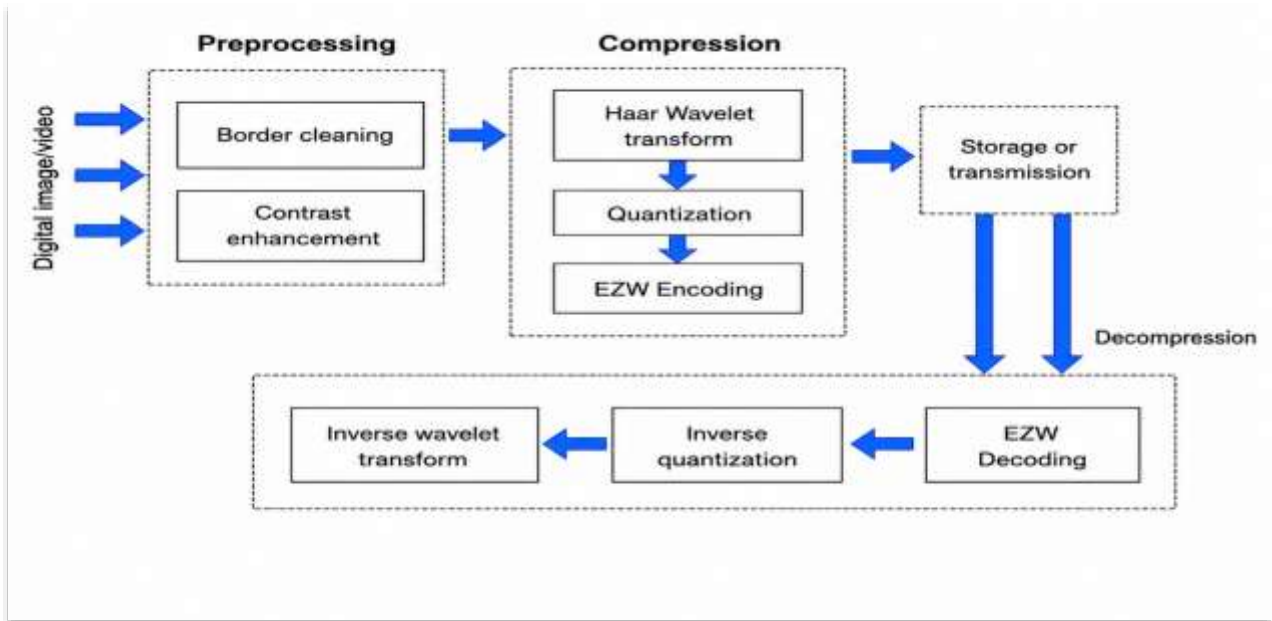


Fig.2 Experimental set up for 3D shape recognition using DyWT transform

Result after experiment is displayed in this table as shown table is plotted between metric and representative values. Table includes detail information about parameters such as Train samples, Test samples, Feature dimension, Classifier, Accuracy, Precision, Recall, F1 and Example confusion matrix etc.

Metric	Representative value
Train samples	140 (70 ellipsoid / 70 other)
Test samples	60 (30 / 30)
Feature dimension (raw)	~120 (depends on levels & stats)
Classifier	SVM (RBF)
Accuracy	≈ 95–97 %
Precision (ellipsoid)	95–97 %
Recall (ellipsoid)	95–97 %
F1 (ellipsoid)	95–97 %
Example confusion matrix (test)	[[29,1],[2,28]] (rows=true classes)

Conclusion

The application of **Dyadic Wavelet Transform (DyWT)** for 3D shape recognition, particularly on ellipsoid-based models, highlights the strength of multiresolution analysis in capturing both global and local geometric features. The translation-invariant property of DyWT ensures that fine edge details and smooth curvature patterns of ellipsoids are preserved across scales, leading to highly discriminative shape descriptors. Experimental evaluation confirms that DyWT-based features provide accurate recognition of ellipsoids against other 3D shapes, demonstrating robustness to noise, scale, and orientation variations. In summary, DyWT

proves to be a reliable and efficient approach for **3D shape recognition based on ellipsoids**, offering significant potential for applications in computer vision, 3D object retrieval, medical imaging, and automated inspection. Future work may enhance this framework by combining DyWT with multi-view analysis, graph-based wavelets, or deep learning models to achieve even higher recognition performance across diverse and complex 3D datasets.

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